

## Trading account segment Activation / Deactivation

To,  
 Keynote Capitals Limited,  
 KYC Department  
 9th Floor, The Ruby, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028.

Reference:

Name:	
Client Code:	PAN no.:

Subject: Trading account segment Activation / Deactivation.

Dear Sir,

With reference to the above subject I wish to state that I have opened the account with Keynote and further wish to trade in the following segments of the Exchanges.

Name of the Exchange	Segment	Activation / Deactivation	Signature
BSE and NSE	Equity Derivative		
BSE and NSE	Currency Derivative		
NSE	SLBM		

Following would be the brokerage structure for trading in the above segment as signed by me:

Segments	Equity Derivatives		Currency Derivative		SLBM	
	Futures Segment	Options Segment	Futures Segment	Options Segment	Lending	Borrowing
	%	%	%	%	%	%
First Leg						
Second Leg (same day Sq.off)						
Next day Sq.off						
	Minimum Brokerage will be 0.02 paisa per share applicable for futures & options trading		<input type="checkbox"/> Default brokerage		<input type="checkbox"/> Default brokerage	

- In case of futures, the minimum brokerage of 0.02 paisa per share will be charged or the above whichever is higher. In case of Options, the brokerage will be charged on the Premium.
- Default brokerage (Please refer the details of default brokerage and schedule of charges under the policy and procedures.)

Financial Information (please tick as applicable) (Rs. In Lakhs)

<input type="checkbox"/> <1	<input type="checkbox"/> 1-5	<input type="checkbox"/> 5-10	<input type="checkbox"/> 10-25	<input type="checkbox"/> 25- 100	<input type="checkbox"/> >100
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Occupation Details (Please select as applicable)

<input type="checkbox"/> Private sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Govt. Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist
<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Self- Employed	<input type="checkbox"/> Statutory body	<input type="checkbox"/> NGO
<input type="checkbox"/> Others (please specify): _____					

If the following is additionally applicable to you. Please tick (✓) one or more as applicable:

<input type="checkbox"/> Politically Exposed Person(PEP)	<input type="checkbox"/> Related to a Politically Exposed Person(PEP)	<input type="checkbox"/> Civil Servant	<input type="checkbox"/> Bureaucrat
<input type="checkbox"/> Current or Former MP, MLA or MLC	<input type="checkbox"/> Politician	<input type="checkbox"/> Current or Former Head of State	

Further kindly find enclosed the documentary evidence with regards to the income range stated above (Please tick)

<input type="checkbox"/> Copy of ITR Acknowledgement	<input type="checkbox"/> Copy of Annual Accounts	<input type="checkbox"/> In case of Salary Income - Salary Slip, Copy of Form 16	<input type="checkbox"/> Bank account statement for last 6 months
<input type="checkbox"/> Copy of demat account holding statement.	<input type="checkbox"/> Net worth certificate duly certified by Chartered Accountant	<input type="checkbox"/> Demat Statement along with valuation	

- I/we agree that the terms and conditions (T&C) and other mandates as accepted by me / us while executing the KYC or as may have been updated on the website – www.keynotecapitals.com from time to time shall continue to apply and the onus of checking the website and reading the terms and conditions is on the client.
- I / we further agree that the additional segment to be activated as indicated herein, shall be included to be read with in the relevant clauses in the T&C and other mandates accepted by me /us at the time of account opening, or as may have been updated on the website –www.keynotecapitals.com, as if the same had been accepted by me since the opening of my account and the onus of checking the website and reading the terms and conditions is on the client.
- I/we confirm having read, received, explained and understood the contents of Policies and procedures, Terms and Conditions governing Stock Broker, Risk Disclosure Documents, including Additional Risk Disclosure Document For option Trading & Do's & Don't for trading on the Exchanges, Rights and Obligations applicable for Stock Brokers & Clients the tariff sheet, as available on the website of the company.
- The client agrees to abide by the modifications in the law and the terms and conditions as may be applicable after signing of the KYC and Account opening form from time to time till date and in future.

I/We confirm that the information provided hereinabove is true and correct.

**Signature of the Client**

**Date:**

Inward details (Office use only)

Branch/Authorised Person			
Date			

For HO use only

Submitted on	Date	Signature
Processed by (Staff code and name)		
Signature verified by and updated in the system (Staff code & name)		